

Risk Management Elements	Level I Low-Risk Transactions	Level II Moderate-Risk Transactions	Level III High-Risk Transactions
Address Verification and History	X	X	X
Social Security Number Trace (Additional nominal fee if not provided)	X	X	X
Secretary of State Officer/Director Records (Individual)	X	X	X
Securities & Exchange Commission (SEC) Proxy Statements for Officer/Director Affiliations (Individual)			X
Secretary of State Corporate Records (Business)	X	X	X
County Level Fictitious Business Name Statements	X	X	X
Dun & Bradstreet Business Information Report		X	X
Media Sources (Adverse content; national and/or international publications, public record repositories and specialized libraries; 10-year scope)	X	X	X
Media Sources (Noteworthy content; national and/or international publications, public record repositories and specialized libraries; 10-year scope)		X	X
County Court of General Jurisdiction – Civil Records (One jurisdiction, 10-year scope)	X	X	X
County Court of General Jurisdiction – Criminal Records (One jurisdiction, felony index, 10-year scope)	X	X	X
Criminal Misdemeanor Records (One jurisdiction, 10-year scope)			X
Federal Court – Civil Records (One jurisdiction, 10-year scope)	X	X	X
Federal Court – Criminal Records (One jurisdiction, 10-year scope)	X	X	X
Bankruptcy Records (One jurisdiction, 10-year scope)	X	X	X
Tax Lien Records – Federal, State, County & UCC (One jurisdiction, 10-year scope)	X	X	X
Judgment Records – Federal, State, County & UCC (One jurisdiction, debtor only, 10-year scope)	X	X	X
Securities & Exchange Commission (SEC) Registrations and Violations		X	X
National Association of Securities Dealers (NASD) Registrations and Violations		X	X
Commodity Futures Trading Commission (CFTC) Registrations and Violations		X	X
National Futures Association (NFA) Registrations and Violations		X	X
State Department of Securities Registrations and Violations		X	X
New York Stock Exchange (NYSE) Enforcement Actions		X	X
Federal Excluded Parties Index			X
County Recorder's Index			X
International Fraud and Politically Exposed Persons (PEPS) Database, which includes records from the Office of Foreign Assets Control (OFAC)			X
Professional License/Certificate/Membership Verification (U.S. institutions only)		X	X
Education Verification (Highest degree, U.S. institutions only)			X

Adjunct Elements

Consumer Credit Report (Signed release required)
Industry Applicable Regulatory Authority Records
Additional Professional License/Certificate/
Membership Verification
International Professional License/Certificate
Verification: Charges vary by country
International Education Verification: Charges vary
by country
Driving Record (Signed release required)
Property Record

Document Retrieval: Charges vary by jurisdiction,
document type and size
Additional Jurisdiction Searches (10-year scope)
County Court of General Jurisdiction – Civil Records
County Court of General Jurisdiction – Criminal
Records (Felony index)
Federal Court – Civil Records
Federal Court – Criminal Records
Bankruptcy Records
Tax Lien Records
Judgment Records

**Scherzer International search strategies may be
customized to attain client objectives.**

**Scherzer International investigations are conducted in
adherence with applicable state and federal regulations.**



**Maybe you should stick
your nose into his
business before he pokes
his into yours.**